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GENERATING PROPERTIES OF INVERTIBLE POLYNOMIAL  
MAPS IN THREE VARIABLES, WHICH HAVE A  
SMALL COMPOSITIONAL-TRIANGULAR LENGTH

Yuriy BODNARCHUK

University "Kiev-Mohyla Academy", 1 Skovoroda Str. 040070 Kyiv, Ukraine

It is shown that each  $k$ -triangular invertible map (choosing in advance) for  $k = 1, 2, 3$  with linear maps generate the group of tame polynomial automorphisms in three variables.

*Key words:* invertible polynomial map, affine space, affine group, infinitely dimensional algebraic group.

Invertible polynomial maps of an affine space  $A^n$  over a field  $K$  form a group  $GA_n$  (see [1],[2]), which sometimes is called the affine Cremona group. Elements of  $GA_n$  can be written down as tuples of polynomials

$$\langle f_1(x_1, \dots, x_n), f_2(x_1, \dots, x_n), \dots, f_n(x_1, \dots, x_n) \rangle, \quad (1)$$

$f_i \in K[x]$ , with composition of tuples as group operation and  $X = \langle x_1, \dots, x_n \rangle$  as the unit of  $GA_n$ . It is useful to introduce vectors of the standard basis  $\vec{e}_i = (0, \dots, 0, 1, 0, \dots, 0)$ ,  $i = 1, 2, \dots, n$  and represent the elements (1) in the form

$$g = \sum_{i=1}^n f_i(x_1, \dots, x_n) \vec{e}_i \quad (2)$$

For such a polynomial map  $g \in GA_n$  let  $\deg g = \max_i \deg f_i$ . It is evident that maps with  $\deg g \leq 1$  form an isomorphic copy of the affine group in  $GA_n$ . In particular, the elements  $c_i = X + \vec{e}_i$  form a basis of  $A_n^+$  as a vector space over  $K$ . Everywhere below we will identify  $AGL_n$  with the image of this standard enclosure. In this sense we shall also understand the matrix and permutational notations. For instance, the cycle  $(1, 2, 3)$  in the dimension 3 means the transformation  $\langle x_2, x_3, x_1 \rangle$  in the form (1) and  $A_{ij} = X + x_j \vec{e}_i \in GL_n$  in the form (2). It is easy to check that tuples (1) of the kind

$$\langle x_1 + h_1, x_2 + h_2(x_1), \dots, x_i + h_i(x_1, \dots, x_{i-1}), \dots, x_n + h_n(x_1, \dots, x_{n-1}) \rangle \quad (3)$$

or

$$X + \sum_{i=1}^n h_i(x_1, \dots, x_{i-1}) \vec{e}_i,$$

in the form (2), are invertible polynomial maps for arbitrary polynomials  $h_i$  and make up the subgroup  $U_n$  of the unitriangular transformations.  $U_n$  can be considered as iterated algebraic wreath product of  $K^+$ . There is a semidirect decomposition  $U_n = U_{n-1}F_n$ , where  $U_{n-1}$  ( $F_n$ ) consist of the elements of form (3) with  $h_n \equiv 0$  ( $h_i \equiv 0, i = 1, \dots, n-1$ ). For  $n = 3$  we have  $U_3 = U_2F_2$ . There is a partial order  $\prec$  on  $U_n$ , which is the extension of the inverse lexicographical order of monomials. We will say that an element  $u_1$  has height less than  $u_2$ , for  $u_1, u_2 \in U_n$  if  $u_1 \prec u_2$ .

The normalizer  $B_n = N_{GA_n}(U_n) = T_n \cdot U_n$  is a subgroup of triangular automorphisms whose elements have the form

$$\langle \alpha_1 x_1 + h_1, \alpha_2 x_2 + h_2(x_1), \dots, \alpha_i x_i + h_i(x_1, \dots, x_{i-1}), \dots, \alpha_n x_n + h_n(x_1, \dots, x_{n-1}) \rangle, \quad (4)$$

where  $T_n$  is an algebraic torus,  $\alpha_i \in K^*$ .

The subgroup  $GA_n^0$  is a stabilizer of zero ( $f_i(0) = 0$ ) and contains a descended chain of the normal subgroups  $GA_n^m, m = 0, 1, 2, 3, \dots$ , whose elements have the form  $\langle x_1 + H_1^{m+1} + \dots, x_2 + H_2^{m+1} + \dots, \dots, x_n + H_n^{m+1} + \dots \rangle$ , where  $H_i^{m+1}$  are homogeneous forms of degree  $m + 1$  and the dots mean items of higher degrees. Here is a simplest example of the element from  $GA_n^m : \sigma^{(m)} = \langle x_1, x_2, \dots, x_n + x_1^{m+1} \rangle$ . There is a series of natural epimorphisms  $\phi_k : GA_n^0 \rightarrow GA_n^0/GA_n^k$ , moreover the corresponding quotient-group is a finite-dimensional algebraic groups. In particular, we have the semidirect decomposition,  $GA_n^0 = GL_n \cdot GA_n^1$ . Let us recall next definitions from [3]

**Definition 1.** An elementary polynomial map is defined as a transformation of kind

$$\langle x_1, x_2, \dots, x_{i-1}, x_i + a(x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n), \dots, x_n \rangle.$$

**Definition 2.** A polynomial map, which is a finite composition of elementary or linear maps is called a tame map.

Tame automorphisms form group which can be defined as  $TGA_n = \langle AGL_n, B_n \rangle$ . Indeed, each elementary polynomial map is conjugate by a transposition  $(i, n)$  with an unitriangular one. On the other hand, triangular elements of kind  $\langle x_1, x_2, \dots, x_{i-1}, x_i + a(x_1, \dots, x_{i-1}), x_{i+1}, \dots, x_n \rangle$  are elementary maps and generate the group  $U_n$ .

One of the most difficult questions about affine Cremona groups is (see [2]): is  $TGA_n$  a proper subgroup of  $GA_n$  (the answer is negative for  $n = 2$ )?

**Theorem 1.** ([4]).  $GA_2 = B_2 * AGL_2$ , where  $*$  stands for the amalgamated product with the intersection  $B_2 \cap AGL_2$ , consisting of linear triangular maps (4).

**Corollary 1.**  $TGA_2 = GA_2$ .

**Corollary 2.** Let  $\sigma^{(m)} = \langle x_1, x_2 + x_1^{m+1} \rangle \in GA_2^{(m)} \cap U_2$ . Then the groups  $Q_m = \langle AGL_2, \sigma^{(m)} \rangle$  form an ascending chain  $AGL_2 = Q_0 < Q_1 < \dots < Q_m < Q_{m+1}, \dots$  with  $GA_2 = \cup_m Q_m$ .

*Proof.* It follows from a uniqueness of element's decomposition in amalgamated products. Hence, the element  $\sigma^{(k)}, k > m$  can not belong to  $Q_m$ . On the other hand, given element  $\sigma^{(k)}$ , one can calculate commutators with translations from  $A_n^+$  and gets all elements  $\sigma^{(m)}, m < k$ . Thus  $Q_m < Q_k$ .

In particular, this means that in the dimension 2 the affine group is not maximal.

In the dimension more then 2 we have a more complicated situation. The question about the coincidence  $TGA_n$  with  $GA_n$  is open even in the dimension 3.

**Conjecture** (Nagata [2]) *The automorphism*

$$\langle x_1 - 2x_2(x_2^2 + x_3x_1) - x_3(x_2^2 + x_3x_1)^2, x_2 + x_3(x_2^2 + x_3x_1), x_3 \rangle$$

is wild.

On the other hand, as follows from [5], the affine group is a maximal closed algebraic subgroup of  $GA_n$  as an  $\infty$ -dimensional group, correspondent definition was introduced in [1]. Comparing results with Nagata's conjecture, it is natural to pose a question: *is does  $AGL_n$  a maximal subgroup of  $TGA_n$ ?*

As was proved in [6], one can replace the whole subgroup  $B_3$  in the equality  $TGA_3 = \langle AGL_3, B_3 \rangle$  by any its nonlinear element. More precise,

**Theorem 2.** ([6]) *Let  $t \in B_3$  be an arbitrary nonlinear triangular map. Then*

$$TGA_3 = \langle t, AGL_3 \rangle.$$

The aim of this paper is to show that bitriangular and three-triangular elements  $g \in TGA_3$  have similar property  $TGA_3 = \langle AGL_3, g \rangle$ .

**Definition 3.** A map  $q \in GA_n$  is called  $k$ -triangular if it can be presented in the form

$$q = A_1 \cdot t_1 \cdot A_2 \cdot t_2 \cdot \dots \cdot A_k \cdot t_k \cdot A_{k+1}, \quad (5)$$

where  $A_i \in AGL_n, t_i \in B_n$ . The smallest number  $k$  satisfying (5) is called the *triangular-compositional length* of  $q$ .

The term *bitriangular map* means that *triangular-compositional length* of the map equals 2.

**Theorem 3.** *Let  $q$  be an arbitrary bitriangular transformation. Then*

$$TGA_3 = \langle q, AGL_3 \rangle.$$

Let  $G = \langle q, AGL_3 \rangle$ . Without lost of generality, we may assume that  $q = t^A \cdot t', t, t' \in U_3 \cap GA_3^0, A \in GL_3$ . Let  $A = B_1WB_2$  be a Brua decomposition, where  $B_1, B_2 \in GL_3 \cap U_3$  and  $W$  is a permutational matrix. Then we have the bitriangular element  $q^{B_2^{-1}} = t_1^W \cdot t_2 \in G$ , where  $t_1 = t^{B_1}, t_2 = (t')^{B_2^{-1}}$ . Let us preserve the notation  $q$  for this new element of  $G$

$$q = t_1^W \cdot t_2, \quad (6)$$

and represent the triangular elements  $t_1, t_2$  in the the form

$$t_1 = X + a_1(x_1)\vec{e}_2 + a_2(x_1, x_2)\vec{e}_3, \quad t_2 = X + b_1(x_1)\vec{e}_2 + b_2(x_1, x_2)\vec{e}_3 \in GA_3^1 \cap U_1.$$

Without lost of generality, one can suppose that  $t_1, t_2 \in GA_n^0$  and polynomials  $a_1, a_2, b_1, b_2$  have no linear parts. The idea of the proof is simple - to find an affine map  $a$  which permutable with  $t_2$  (or  $t_1^W$ ), calculate a commutator  $[a, q^{-1}]$  (or  $[a, q]$ ) and get a triangular element from  $G$ . In particular, one can use the element  $a = c_3$  from the center of  $U_3$  to get an 1-triangular element  $t_1^{-W}c_3t_1^W$  and apply Theorem 2.

Unfortunately, for some kind of triangular elements  $t_1, t_2$  the correspondent commutators will be linear triangular elements and direct application of Theorem 2 is impossible. The situations, when it can be happened, is described in the next proposition.

**Proposition 1.** *If  $L = \{l(x_1, x_2) = \alpha x_1 + \beta x_2 + \gamma\}$  is a set of linear polynomials, then*

1<sup>0</sup>.  $\Delta h = h(x_1, x_2 + a(x_1) + 1) - h(x_1, x_2 + a(x_1)) \in L$  for some polynomial  $a(x_1)$ ,  $\deg a > 1$  iff polynomial  $h$  has the form  $h = h_{02}x_2^2 - 2h_{02}a(x_1)x_2 + h_{11}x_1x_2 + r(x_1) + l$ , where  $l \in L$ .

2<sup>0</sup>.  $\Delta h = h(x_1 + 1, x_2 - \alpha x_1^2) - h(x_1, x_2 - \alpha x_1^2) \in L$  iff  $h = r(x_2) + h_{11}x_1x_2 + \frac{1}{3}h_{11}\alpha x_1^3 + h_{20}x_1^2 + l$ .

3<sup>0</sup>.  $\Delta h = h(x_1 + 1, x_2 - \beta(2x_1 + 1)) - h(x_1, x_2) \in L$  iff  $h = f(x_2 - \beta x_1^2) + h_{11}x_1(x_2 - \beta x_1^2) - \frac{1}{3}h_{11}\alpha x_1^3 + h_{20}x_1^2 + l$ , where  $f = f(x_2)$  is an arbitrary polynomial.

*Proof.* 1<sup>0</sup>. For  $h = \sum_{i=0}^m r_i(x_1)x_2^i$  we have  $\Delta h = mr_1(x_1)(x_2^{m-1}) + \dots$ , where dots mean items of lower degree than  $x_2$ . From this, it follows, that if  $\Delta h \in L$  then  $\deg r_l + m - 1 \leq 1$ . Thus, we have the form of  $h = r_2x_2^2 + r_1(x_1)x_2 + r_0(x_1)$ , where  $r_2 \in K, \deg r_1 \leq 1$ . For such a form of  $h$  we have  $\Delta h = 2r_2(x_2 + a(x_1)) + r_1(x_1) + r_2$ . Since  $\deg a > 1$ , this polynomial can be linear when  $2r_2a(x_1) + r_1(x_1) \in L$ . Hence we get the form of  $h$ , pointed in 1<sup>0</sup>.

In the case 2<sup>0</sup> select a highest monomial (in the sense  $\prec$ ) containing  $x_1$  :  $h = f(x_2) + x_1^k x_2^m + \dots (k > 0)$ . Then we get  $\Delta h = x_1^{k-1} x_2^l + \dots \in L \rightarrow k + l \leq 2$ . If  $m = 0$  then  $h = f(x_2) + g(x_1)$ ,  $\deg g \leq 2$ . If  $f \neq 0$ , then  $h = h_{11}x_1x_2 + g_1(x_1) + f(x_2)$  and  $\Delta h = h_{11}x_2 - \alpha x_1^2 + g_1(x_1 + t) - g_1(x_1)$ . This polynomial can be linear if  $\deg g_1 = 3$  and its highest item have the form  $\frac{1}{3}h_{11}\alpha x_1^3$ . In that way this case is exhausted. The case 3<sup>0</sup> can be proved by analogy.

Now we are ready to prove Theorem 3.

*Proof.* Let us analyze five cases corresponding to the forms of permutation matrix  $W$  in the formula (6).

Case 1.  $W = (1, 2)$  is a transposition. In this case we get  $t_1^W = X + a_1(x_1)\vec{e}_1 + a_2(x_1, x_2)\vec{e}_3$  and

$$q = X + a_1(x_2 + b_1(x_1))\vec{e}_1 + b_1(x_1)\vec{e}_2 + (b_2(x_1, x_2) + a_2(x_2 + b(x_1), x_1))\vec{e}_3. \quad (7)$$

Consider the linear transformation  $A_{32} = X + x_2\vec{e}_3 \in GL_n$  and obtain a map  $q^{A_{32}} \cdot q^{-1} = X - b_1(x_1 - a_1(x_2))\vec{e}_5$ . This element can be linear only if  $b_1(x_1) \equiv 0$ . If this happens then (6) implies that the element  $q$  is not bitriangular.

Case 2.  $W = (2, 3)$ .

**Remark.** A polynomial  $a_2(b_2)$  can not be independent of  $x_2$ , because, in this case  $t_1^W (t_2^W)$  and then  $q^W$  is triangular.

Let us calculate the commutator with the translation  $c_2$ , which is a triangular map

$$q^{-1}q^{c_2} = X + (b_2(x_1, x_2 + t) - b_2(x_1, x_2))\vec{e}_3.$$

It will be linear iff  $b_2 = b_{02}x_2^2 + b(x_1)$ . In this situation let us consider the element

$$q^{c_1} \cdot q^{-1} = X + (a_2(x_1, x_3 - a_1(x_1 + 1)) - a_2(x_1, x_3 - a_1(x_1)))\vec{e}_5.$$

Accordance to Proposition 1 it will be linear iff  $a_2 = a_{02}x_2^2 + 2a_{02}a_{11}(x_1)x_2 + a_{11}x_1x_2 + a(x_1)$ . Let us use  $A_{31} = X + x_1\vec{e}_3 \in U_3 \cap GL_3$  and calculate the double commutator  $r = [q, c_3], r_1 = [A_{31}, r^{-1}]$ . Next element will be obtained

$$r_1 = X + 2a_{02}x_1\vec{e}_2 - 2(2b_{02}a_{02}x_1x_2 + (b_{02}a_{02} - b_{11})a_{02}x_1^2)\vec{e}_3.$$

This element can be linear in the cases

$$[ 2.1 ] \quad b_{02} = b_{11} = 0;$$

$$[ 2.2 ] \quad a_{02} = 0,$$

In both cases the element  $r$  will be triangular, with  $x_3 + (b_{11}a_{11} + b_{02}a_{11}^2)x_1^2 - 2b_{02}a_{11}x_1x_2$  as a third coordinate and a linear second coordinate. In the case 2.1 the polynomial  $b_2 = b_2(x_1)$  does not depend on the variable  $x_2$ . As was pointed in the remark at the beginning of this case, it contradicts to the assumption that  $q$  is bitriangular element. In the case 2.2, if 2.1 is not hold, then the element  $r$  is linear when  $a_{11} = 0$ . The equalities  $a_{02} = a_{11} = 0$  imply that  $a_2$  does not depend on  $x_2$ , which (by the remark) leads to a contradiction also.

Case 3.  $W = (1, 3)$ . This is the hardest case in which we have

$$q = X + a_2(x_1 + b_2(x_1, x_2), x_2 + b_2(x_1))\bar{e}_1 + (b_1(x_1) + a_1(x_3 + b_2(x_1, x_2)))\bar{e}_2 + b_2(x_1, x_2)\bar{e}_3.$$

Let us calculate the commutator

$$q^{c_3}q^{-1} = X + (a_1(x_1 + 1) - a_1(x_1))\bar{e}_2 + (a_2(x_1 + 1, x_2 - a(x_1)) - a_2(x_1, x_2 - a(x_1)))\bar{e}_3$$

Clearly that this triangular element can be linear only if  $a_1(x_1) = \alpha x_1^2$  and ( by Proposition 1 )  $a_2(x_1, x_2) = a(x_2) + a_{11}x_1x_2 + \frac{1}{3}a_{11}\alpha x_1^3 + a_{20}x_1^2$ .

One the other hand, we have

$$q^{-c_1}q^{-1} = X + (b_1(x_1) - b_1(x_1 + 1))\bar{e}_2 + (b_2(x_1, x_2) - b_2(x_1 + 1, x_2 + b(x_1) - b(x_1 + 1)))\bar{e}_3,$$

which implies  $b_1 = \beta x_1^2$  and (by p. 3<sup>o</sup> of Proposition 1)  $b_2(x_1, x_2) = b(x_2 + \beta x_1^2) + b_{11}x_1(x_2 + \beta x_1^2) - \frac{1}{3}b_{11}\beta x_1^3 + b_{20}x_1^2$

Let us consider the

Case 3.1 when  $\alpha = \beta = 0$ . In this situation we can use the linear element  $A_{32} = X + x_2\bar{e}_3$  again and get the element

$$r = q^{A_{32}} \cdot q^{-1} = X + [(a_{11} + a_{20})x_2^2 + 2a_{20}x_2x_3]\bar{e}_1.$$

Clearly, that  $r^{(1,3)}$  is a triangular element and it will be linear if  $a_{11} = a_{20} = 0$  only. If we replace the element  $q$  with  $q^{-W}$  then the similar procedure leads to the conclusion  $b_{11} = b_{20} = 0$ . So, the situation when  $t_1 = X + a(x_1)\bar{e}_3, t_2 = X + b(x_1)\bar{e}_3, \deg a, \deg b > 1$  and  $q = X + a(x_2)\bar{e}_1 + b(x_2)\bar{e}_3$  should be considered. In this way we get the nonlinear triangular element  $q^{(1,2)} = X + a(x_1)\bar{e}_2 + b(x_1)\bar{e}_3$ .

Case 3.2, in which  $\alpha \neq 0, \beta = 0$ . Let us put  $Q_1 = X - b_{11}x_1\bar{e}_3 \in GL_3$  and calculate the element  $r = q^{c_2} \cdot Q_1 \cdot q^{-1} \in G$ . The element  $A_{13} = X + x_3\bar{e}_1$  belongs to the center of  $U_3^W \cap GA_3^0$  and so, one can get the element

$$r_1 = r^{A_2} \cdot r^{-1} = X + (b(x_2 - \alpha x_3^2) - b(x_2 + 1 - \alpha x_3^2))\bar{e}_1.$$

Thus we can get the triangular element  $r_1^W$  moreover, since  $\alpha \neq 0$ , and  $\deg a \neq 1$  it can be linear only if  $b \equiv 0$ , i.e.  $r_1 = X$ . In this situation the element  $r$  has form

$$r = t_1^{Wc_2} \cdot t_1^{-W} = X + (a(x_2 + 1 - \alpha x_3^2) - a(x_2 - \alpha x_3^2) + a_{11}x_2)\bar{e}_1.$$

Similarly we can get  $a \equiv 0$ . Let us use the element  $Q_2 = X - 2b_{20}x_1\bar{e}_3 \in AGL_3$  permutable with  $t_1, t_2$  and get the element

$$r_3 = q^{c_1}Q_2q^{-1} \in G.$$

The calculation of the commutator with a linear element  $A_{13}$ , which was used above leads to the equality  $r_4 = r_3^{A_{13}}r^{-1} = X + (b_{11}\alpha x_3^2 - b_{11}x_2 - b_{20})\vec{e}_1$ . The triangular element  $r_4^W$  can be linear if  $b_{11} = 0$ . If this holds, then the element of torus  $\tau = \langle x_1, sx_2, x_3 \rangle$  is permutab le with  $t_2$  for any  $s \in K^*$ . Therefore one can get the triangular element  $(q^T q^{-1})^W = t_1^s t_1^{-1}$ , whose second coordinate equals  $x_2 + \alpha \frac{1-s}{s} x_1^2$ . Since  $\alpha \neq 0$  this element is nonlinear for  $s \neq 1$ . This completes the analysis of case 3.2 .

Case 3.3.  $\alpha = 0, \beta \neq 0$ . Replacing  $q$  with  $q^{-W}$  it can be reduced to the previous case.

Case 3.4.  $\alpha, \beta \neq 0$ . This item is central in the case 3. Put

$$Q_3 = X - \frac{sb_{20} + a_{20}}{\alpha} x_2 \vec{e}_1 \in GL_3$$

where  $s$  is a parameter. It is convenient to introduce the element  $q_1 = Q_3 t_1^W t_2$ . The reason will be explained bellow. It is easy to see that monomial structures of the elements  $t_2^{-1}$  and  $t_1$  are similar. Taking this in account, we could choose an element of the torus  $T = \langle \lambda x_1, \mu x_2, \nu x_3 \rangle$  in such a manner that the element  $r_5 = t_2^W (Q_3 t_1^W)^T$  has the form

$$X + f(x_2)\vec{e}_1. \tag{8}$$

If we succeed in this then, without special difficulties we could derive a triangular nonlinear element from  $G$ . For first coordinates of the elements  $(Q_3 t_1^W)^T$  and  $t_2^{-W}$  let us equate coefficients of their monomials  $x_3^2, x_3^3, x_2 x_3$ . In this way we get three equations with unknown  $\lambda, \mu, \nu$

$$\frac{a_{11}\mu\nu}{\lambda} = -b_{11}; \quad \frac{a_{11}\alpha\nu^3}{\lambda} = b_{11}\beta; \quad \frac{\nu^2 sb_{20}}{\lambda} = b_{20}. \tag{9}$$

It is evident that the solution  $\lambda, \mu, \nu \in K^*$  exists if either both  $a_{11}, b_{11}$  equal to zero or both not. Now let us remark that the element  $Q_5$  was introduced to avoid the same problem with the coefficients  $a_{02}, b_{02}$ .

In the Case 3.4.1  $a_{11}, b_{11} \neq 0$  we have the solution (9)

$$\lambda = \frac{b_{11}^2 \beta^2 s^3}{a_{11}^2 \alpha^2}; \quad \mu = -\frac{b_{11}^2 \beta s^2}{a_{11}^2 \alpha}; \quad \nu = \frac{b_{11} \beta s}{a_{11} \alpha}.$$

After the substitution of these values in  $t_2^W (Q_3 t_1^W)^T$  we get an element of the necessary form (8), where

$$f(x_2) = s^{-3} \left( \frac{a_{11}\alpha}{b_{11}\beta} \right)^2 a \left( -\frac{b_{11}^2 \beta s^2 x_2}{a_{11}^2 \alpha} \right) + b(x_2) + (b_{20} + a_{20}s^{-1}) \frac{x_2}{\beta}.$$

Since  $A_{31}$  is permutab le with the unitriangular elements  $t_1, t_2$ , for the element  $g = q_1^W (q_1)^T = t_1 r t_2^T$ , we get a triangular element  $g^{A_{31}} g^{-1} = \tilde{t}_1 r_5^{A_{31}} \tilde{t}_1^{-1}$ , where  $\tilde{t}_1 = (A_{31})^W t_1 \in U_3$ . Direct calculations lead to the following formula

$$g^A g^{-1} = X + \left( s^{-3} \left( \frac{a_{11}\alpha}{b_{11}\beta} \right)^2 a \left( -\frac{b_{11}^2 \beta s^2 (x_2 - \alpha x_1^2)}{a_{11}^2 \alpha} \right) \right) +$$

$$b(x_2 - \alpha x_1^2) + (b_{20} + a_{20}s^{-1}) \frac{x_2 - \alpha x_1^2}{\beta} \vec{e}_3 \quad (10)$$

Let us analyze conditions under which it will be linear. If  $a_k, b_k$  are coefficients at  $k$ -degrees of polynomials  $a, b$  then the coefficient of a monomial  $x_2^k, k \geq 2$  is a polynomial from  $s$

$$\left( \frac{a_{11}\alpha}{b_{11}\beta} \right)^2 \left( \frac{b_{11}^2\beta}{a_{11}^2\alpha} \right)^k a_k s^{2k-3} + b_k.$$

It can be equal to zero if  $a_k = b_k = 0$ . Hence, the element  $g^A g^{-1}$  is linear iff  $a \equiv b \equiv 0, a_{20} = b_{20} = 0$ , i.e.  $t_2^W (Q_3 t_1^W)^T = X$ . In this situation we can put  $s = 1$  in the polynomial  $f_2$  and suppose that  $\nu$  is a parameter. Then we have a triangular element  $t = t_1 t_2^W t_1^W t_2^T = t_1 t_2^T$  whose second coordinate is equal to

$$x_2 - (\nu^4 \left( \frac{a_{11}}{b_{11}} \right)^2 - 1) \alpha x_1^2.$$

Since  $\alpha, a_{11} \neq 0$ , one can choose a value of  $\nu$  in such a manner that  $t$  is a nonlinear element.

Case 3.4.2.  $a_{11} = 0, b_{11} \neq 0$ .

Let us put  $g = q^{-c_2} q$  and use  $A_{31}$  again. Since  $A_{31}$  is permutable with  $t_1$  it is easy to calculate

$$g^{-A_{31}} g = t_2^{-1} \left( t_1^{-W} t_1^{W c_2} \right)^{A_{31}} t_1^{-W c_2} t_1^W t_2 = X + (a(x_2 + \beta x_1^2) - a(x_2 + \beta x_1^2 + 1)) \vec{e}_3.$$

Since  $\beta \neq 0$ , it follows that this triangular element can be linear only if  $a \equiv 0$ . In this situation the element  $t_1^W = \langle x_1 + a_{20} x_3^2, x_2 + \alpha x_3^2, x_3 \rangle$  is permutable with  $c_2$ , hence,

$$q^{-c_2} q = t_2^{-c_2} t_2 = X + (b(x_2 + \beta x_1^2) - b(x_2 + \beta x_1^2 + 1) - b_{11} x_1) \vec{e}_3.$$

This element can be linear if  $b \equiv 0$ .

In this case it is easy to verify that the linear transformation  $Q_4 = \langle x_1, (1 - \frac{c a_{20}}{\alpha}) x_2 + c x_1, x_3 \rangle$  is permutable with  $t_1^W$  for each value  $c \neq \frac{\alpha}{a_{20}}$ , hence, one can get the element  $q^{-1} q^{Q_4}$ . It turned out, that its second coordinate  $x_2 + \frac{\beta a_{20} c}{\alpha - a_{20} c} x_1^2$  is a nonlinear polynomial if  $a_{20} \neq 0$ . In the opposite case  $a_{20} = 0$  is the third coordinate of this element  $x_3 + b_{11} x_1^2 c$  is a nonlinear polynomial.

Case 3.4.3.  $a_{11} \neq 0, b_{11} = 0$  can be reduced to the previous one replacing  $q$  by  $q^{-W}$ .

Case 3.4.4.  $a_{11} = b_{11} = 0$ . In this case we use  $\lambda = \nu^2 s, \mu = \alpha \nu^2 / \beta$  and parameter  $\nu$  as a solution of equations (9) and get the element

$$r_5 = t_2^W (Q_4 t_1^W)^T = X + \left( \frac{a \left( -\frac{\alpha \nu^2 (x_2 - \alpha x_1^2)}{\beta} \right)}{\nu^2 s} + b(x_2 - \alpha x_1^2) + \frac{s b_{20} + a_{20}}{s \beta} x_2 \right) \vec{e}_1.$$

One can repeat the argument done after the formula (10) and conclude that  $r_5$  can be linear only if  $a \equiv b \equiv 0$  and  $a_{20} = b_{20} = 0$ . But under these conditions the element  $q$  is triangular and we get a contradiction.

Case 4.  $W = (1, 2, 3)$ . Our standard procedure leads to equalities :

$$(q^{c_3} q^{-1})^{W^2} = X + (a_2(x_1, x_2 + a_1(x_1) + 1) - a_2(x_1, x_2 + a_1(x_1))), \vec{e}_3,$$

$$q^{c_1}q^{-1} = X + (b_1(x_1+1) - b_1(x_1))\vec{e}_2 + (b_2(x_1+1, x_2) - b_2(x_1, x_2 + b_1(x_1+1) + b_1(x_1)))\vec{e}_3$$

and the next conditions on the polynomials  $a_1, a_2, b_1, b_2$ , under which the obtained triangular elements are linear.  $b_2(x_1) = \beta x_1^2$ , and (by Proposition 1)

$$a_2 = a_{02}x_2^2 + 2a_{02}a_1(x_1)x_2 + a_{11}x_1x_2 + r(x_1)$$

$$b_2 = f(x_2 + \beta x_1^2) + b_{11}x_1(x_2 + \beta x_1^2) + \frac{1}{3}b_{11}\beta x_1^3 + b_{20}x_1^2,$$

where  $r(x_1), f(x_1)$  are polynomials.

At the same time, together with the element  $q = t_1^W t_2$  the group  $G$  contains the element  $q_1 = q^{-W^{-1}} = t_2^{-W^2} t_1^{-1}$ , for which one can calculate the commutator  $q_2 = q_1^{-1} q_1^{c_1}$  and check that it is not triangular. But the element

$$q_3 = q_2^{A_{31}} q_2^{-1} = X - b_{11}x_1\vec{e}_2 - b_{11}(2a_{02}x_1x_2 + (a_{11} - a_{02})x_1^2)\vec{e}_3 \in G$$

is triangular. Thus we have the alternative cases 4.1 and 4.2

Case 4.1.  $b_{11} \neq 0, a_{02} = a_{11} = 0$ , where we have

$$q = X + r(x_2 + \beta x_1^2)\vec{e}_1 + \beta x_1^2\vec{e}_2 + [f(x_2 + \beta x_1^2) + b_{11}x_1x_2 + \frac{2}{3}b_{11}\beta x_1^3 + a_1(x_2 + \beta x_1^2)]\vec{e}_3.$$

One can get a triangular element  $q^{-1}q^{A_{13}} = X - r(x_2 + \beta x_1^2)\vec{e}_3$ , which can be linear under condition  $r \equiv 0$ . This yields a contradiction that  $q$  is triangular.

Case 4.2.  $b_{11} = 0$  Direct calculation of a commutator leads to the triangular element

$$q_1^{-1}q_1^{c_1} = X - 2b_{20}(2x_1 + 1)\vec{e}_2 - (4a_{02}b_{20}x_1x_2 + \dots)\vec{e}_3,$$

which could be linear if  $a_{02}b_{20} = 0$ . Here dots mean items of the lower height.

Case 4.2.1.  $b_{20} = 0$ . The element  $q^{-1}q^{A_{31}} = X + \beta(2x_1x_3 + x_3^2)$  is 1-triangular and can be linear only if  $\beta = 0$ .

Case 4.2.2.  $a_{02} = 0$ . In this case one can use  $A_{21} = X + x_1\vec{e}_2$  and calculate the double commutator  $g = q_1^{A_{31}} q_1^{-1}, g_1 = g^{A_{21}} g^{-1}$ , which conjugate by the transposition (2, 3) with a triangular element of the form  $X - (\beta^3 x_3 t + \dots)\vec{e}_5$ . Just as in the previous case the case  $\beta = 0$  should be treated. But in both cases the equality  $\beta = 0$  gives contradiction:  $q$  isn't bitriangular.

Case 5.  $W = (1, 3, 2)$ . The group  $G$  contains the element  $q$  together with  $q_1 = q^{-W^{-1}} = t_2^{-W^{-1}} t_1^{-1}$ . Since  $W^{-1} = (1, 2, 3)$  this case is reduced to the previous one and this completes the proof of the theorem.

The previous proof was based on calculations with commutators  $[q, c], c \in A_3^+$ , which have the compositional-triangular length less than the element  $q$ . For 3-triangular maps, as a rule, we will get 3-triangular elements also. But the height of the intermediate triangular element of the new elements will decrease. The proof of the next theorem is based on this simple remark.

**Theorem 4.** *Let  $q$  be a 3-triangular element of  $GA_3$ . Then*

$$TGA_3 = \langle AGL_3, q \rangle.$$

*Proof.* If  $G = \langle AGL_3, q \rangle$ , then without loss of generality one can suppose that  $q$  has a form  $q = t_1^{A_1} t_2^{A_2} t_3^{A_3}$ . The Brua decomposition leads to the equality

$$q = B_1^{-1} \cdot t_1^{W_1} \cdot B_1 \cdot t_2 \cdot B_3^{-1} \cdot t_3^{W_3} \cdot B_3$$

and we get an element

$$B_1 \cdot q \cdot B_3 = t_1^{W_1} \cdot t_2' \cdot t_3^{W_3} \in G,$$

where  $t_1' = B_1 \cdot t_1 \cdot B_1^{-1}$ ,  $t_3' = B_3 \cdot t_3 \cdot B_3^{-1}$ ,  $t_2' = B_1 \cdot t_2 \cdot B_3^{-1}$ . Bellow we will preserve notations and suppose that the group  $G$  contains a map of the form

$$q = t_1^{W_1} \cdot t_2 \cdot t_3^{W_3}. \quad (11)$$

Case 1.  $W_1 = W_3 = (1, 2)$ .

Put  $A_{32} = X + x_2 \bar{e}_3$ . Since it is permutable with  $t_i^{W_i}$ ,  $i = 1, 2$ , we get a triangular element

$$q^{A_{32}} \cdot q^{-1} = X - b_1(x_1 - a_1(x_2)) \bar{e}_3,$$

which could be linear only if  $b_1 \equiv 0$ . But in this situation  $q$  is a bitriangular element, because the element

$$t_1^{(1,2)} \cdot t_2 = \left( t_1 \cdot t_2^{(1,2)} \right)^{(1,2)}$$

is 1-triangular. This contradiction completes analysis of this case.

Case 2.  $W_1 = W_3 = (2, 3)$ .

Since  $c_2 = X + \bar{e}_2$  is permutable with  $t_i^{W_i}$ ,  $i = 1, 2$  we get the element

$$q_1 = q^{c_2} \cdot q^{-1} = t_1^{W_1} \cdot t_2^{c_2} \cdot t_2^{-1} \cdot t_1^{-W_1} \in G.$$

If  $a_2$  is independent of  $x_2$ , then  $t_1^{W_1}$  is triangular and  $q$  isn't 3-triangular, hence,  $a_2$  depends on  $x_2$ .

Therefore one can proceed to calculate commutators  $q_{i+1} = q_i^{c_2} q^{-1} = t_1^{W_1} \tau_i \cdot t_1^{-W_1}$  till  $\tau_i$  will be of the form

Case 2.1.  $\tau_i = X + \alpha x_1^k \bar{e}_3$ ,  $k > 0$ ;

Case 2.2.  $\tau_i = X + (\beta x_2 + \gamma) \bar{e}_3$ .

In the case 2.1 we get a 1-triangular element  $q_i = \left( t_1 \tau_i^{W_1} t_1^{-1} \right)^{W_1}$ , where  $\tau_i^{W_1} = X + x_1^k \bar{e}_2$  is a triangular element and hence the element  $q_i$  is a nonlinear 1-triangular element.

In the case 2.2,  $\tau_i$  is a linear element and hence the element  $q_i$  is bitriangular.

Case 3.  $W = (1, 3)$ . Consider the case when  $t_2$  doesn't depend on  $x_1$ , i.e.  $t_2 = X + b_2(x_2) \bar{e}_3$ . Then the commutator  $q^{A_{13}} \cdot q^{-1} = X - b_2(x_2 - a_1(x_3)) \bar{e}_1$  is a nonlinear 1-triangular element. In a similar way let us consider the case when  $t_1$  doesn't depend on  $x_1$ , i.e.  $t_2 = X + a_2(x_2) \bar{e}_3$ . Remark that in this case  $b_1 \neq 0$ . Indeed, if  $b_1 \equiv 0$ , then  $t_1^{W_1} t_2$  is 1-triangular and  $q$  is not 3-triangular. Therefore the element  $q^{A_{31}} q^{-1} = X + (a_2(x_2 - b_1(x_1 - a_2(x_2)))) - a_2(x_2) \bar{e}_3$  is a nonlinear triangular one.

Let us consider the general case and calculate the commutator

$$q_1 = q^{c_1} \cdot q^{-1} = t_1^{W_1} \cdot t_2^{c_1} \cdot t_2^{-1} \cdot t_1^{-W_1} \in G,$$

where

$$t_2^{c_1} \cdot t_2^{-1} = X + (b_1(x_1 + 1) - b_1(x_1))\vec{e}_2 + (b_2(x_1 + 1, x_2 - b_1(x_1)) - b_2(x_1, x_2 - b_1(x_1)))\vec{e}_3.$$

Let us put  $q_{i+1} = q_i^{c_1} \cdot q_i^{-1} = t_1^{W_1} \cdot \tau_i \cdot (t_1^{-W_1}) \in G$ . One can proceed the process till the  $\deg_{x_1} \tau_i = 1$  (the case when  $\deg_{x_1} t_2 = 0$ , was considered above). Thus we will stop a process when the element  $\tau_i = X + (\alpha x_1 + \beta)\vec{e}_2 + (x_1 r(x_2) + r_0(x_2))\vec{e}_3$  will be obtained. If  $\deg r \leq 0$ , then one can pick out the linear part

$$\tau_i = L \cdot \tau'_i = (X + (\alpha x_1 + \beta)\vec{e}_2 + (\alpha_1 x_1 + \beta_1)\vec{e}_3) \cdot (X + r_0(x_2)\vec{e}_3)$$

and to join it to  $t_1^{W_1}$ . It could be done by replacing  $q_{i+1}$  with  $L^{-1} \cdot q_{i+1} \in G$ . In this way we get the element  $\bar{q}_{i+1} = t_1^{W \cdot L} \tau'_i t_1^{-W}$ . It is easy to check that the map

$$\bar{q}_{i+1}^{c_1} q_{i+1}^{-1} = t_1^{W \cdot L} \cdot t_1^{-W}$$

is a nonlinear bitriangular one. If  $\deg r > 0$  then the element

$$\tau_i = X + (\alpha x_1 + \beta)\vec{e}_2 + (x_1 r_1 + r_0)\vec{e}_3$$

is linear and  $q_{i+1}$  is a bitriangular or 1-triangular. It is easy to check that the last case can be realized only if  $a_2 = a_2(x_1), r_1 = 0$ . Then it can be linear if  $a_2 \equiv 0$ , but it yields the contradiction that  $q$  is 1-triangular map.

In the case when  $\deg r > 0$  one can proceed the process of the calculations  $\tau_i$  until an element of the kind  $\tau_i = X + (\alpha x_1 + \beta)\vec{e}_3$  appears. Similarly to the case of  $\deg r < 1$  one can get a nonlinear bitriangular element.

The case when  $W_1 = W_2 = (1, 2, 3)$  can be investigated by the previous procedure of an iterated commutators with  $c_1$ .

In the case  $W_1 = W_2 = (1, 3, 2)$  we can calculate commutators  $q_1 = q^{c_2} \cdot q^{-1} = t_1^{W_1} \cdot \tau_1 \cdot (t_1^{-W_1}) \in G$ , where  $\tau_1$  has the form  $X + r(x_1, x_2)\vec{e}_3$ . If  $\deg r > 1$ , then one can consider the element  $q_1^{(1,2)} = t_1^{(1,3)} \tau_1 t_1^{-(1,3)} \in G$  and reduce this case to the previous one. Let us investigate the situations, when  $\deg \tau_1 \leq 1$ . If  $\deg \tau_1 = 1$ , then we have the map  $q_1$  which is bitriangular unless the case when  $a_1, a_2$  doesn't depend on  $x_1$ . But the last case is impossible because it contradicts to the suggestion that  $q$  is 3-triangular. We can obtain the element  $q_1$  with  $\deg \tau_1 = 0$ , when  $b_2$  doesn't depend on  $x_2$ . In this case the element  $q^{(2,3)} = t_1^{(1,2)} t_2^{(1,2)} t_1^{-(1,2)} \in G$  have the form of the case 1.

If  $q$  has the form (11), where  $W_1 \neq W_2$  one can choose the linear element  $A_{ij}$ , permutable with  $t_1^{W_1}$  or  $t_2^{W_2}$  but not permutable with  $t_2$ . Then the map  $q^{A_{ij}} \cdot q^{-1}$  or  $q^{-1} \cdot q^{A_{ij}}$  will be 3-triangular and has the form (11) with  $W_1 = W_2$ .

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**ПОРОДЖУЮЧІ ВЛАСТИВОСТІ ОБОРОТНИХ ПОЛІНОМІАЛЬ-  
НИХ ВІДОБРАЖЕНЬ ВІД ТРЬОХ ЗМІННИХ, ЩО МАЮТЬ  
МАЛУ КОМПОЗИЦІЙНО-ТРИКУТНУ ДОВЖИНУ**

**Ю.Боднарчук**

*Національний університет "Киево-Могилянська Академія",  
вул. Сковороди, 2 040070 Київ, Україна*

Показано, що кожне  $k$ -трикутне оборотне відображення (наперед вибране) для  $k = 1, 2, 3$  разом з лінійними відображеннями породжує групу ручних поліноміальних автоморфізмів від трьох змінних.

*Ключові слова:* оборотне поліноміальне перетворення, афінний простір, афінна група, афінна група Кремони, нескінченновимірна алгебрична група.

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